

# **Exploration of Proteins Involved in Acquisition of Resistance to Cetuximab**

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### **ABSTRACT**

Anti-epidermal growth factor receptor (EGFR) monoclonal antibodies (Mabs) show high efficacy in about 50% of colorectal cancer (CRC) patients with wild-type KRAS. However, < 20% of patients with KRAS wild-type CRC have continued therapeutic effects with these agents, and acquired resistance to treatment has become a serious clinical problem. In this study, to clarify the factors related to acquisition of resistance to cetuximab and establish countermeasures against such acquired resistance, we conducted a comprehensive protein analysis via a proteomics approach using acquired resistance cell lines derived from cetuximab -sensitive CRC cell lines and original cell lines. Cetuximab-acquired resistance cell lines were generated by continuous exposure of SW48 and C99 cell lines to cetuximab. Expression of deoxycytidine kinase (dCK) and zinc finger and BTB domain-containing protein 41 (ZBTB41) increased more than 10fold, and dual specificity protein phosphatase 3 (DUS3) expression decreased by less than 1/10 with acquisition of resistance to cetuximab in both C99 and SW48 cell lines. Because overexpression of dCK is known as a positive indicator of efficacy of nucleoside analogs such as cytarabine or gemcitabine, it is considered that nucleoside analogs activated by dCK may be useful agents in treatment of cancers with acquired cetuximab-resistance. In the future, we need to clarify the usefulness of these drugs for the treatment of cetuximab resistant CRC and to assess the possibility of restoration of cetuximab sensitivity by regulation of ZBTB41 and DUS3 expression.

Keywords:cetuximab, colorectal cancer, acquired resistance, protein, dCK, ZBTB41

### 1. Introduction

Cetuximab was launched in the United States and Europe in 2004 as an anti-epidermal growth factor receptor (EGFR) monoclonal antibody (Mab) for treatment of colorectal cancer (CRC) and pharyngeal cancer. Even in Japan, cetuximab has been widely used to treat CRC and pharyngeal cancer, and is considered a drug of choice, especially in the treatment of CRC. A large-scale clinical trial showed that the presence of genetic variations of KRAS is a resistance factor for anti-EGFR Mabs including cetuximab and that the response rate to anti-EGFR Mabs in CRC patients with wild-type KRAS was about 50%(1-3). However, it is reported that < 20% of patients with KRAS wild-type CRC who experience continued therapeutic effects of anti-EGFR Mabs, and acquired resistance to treatment has become a serious clinical problem(1-3). As a countermeasure to acquired resistance to anti-cancer drugs, factors affecting development of acquired resistance were investigated in several studies, and several factors including the RAS-RAF-mitogen activated protein (MAPK)/Erk kinase (MEK)-MAPK signaling pathway, which is significantly involved in the proliferation of cancer cells, were reported to affect tolerance to cetuximab(1-3). Troiani and colleagues reported that MEK inhibitors showed high growth inhibition efficacy against cells with acquired resistance established by administering cetuximab to mice inoculated with cancer cells or exposing cultured cells to cetuximab, and that concomitant use of cetuximab and a MEK inhibitor showed stronger growth inhibition efficacy(4). In addition, Zhang et al. reported that down-regulation

of phosphatase and tensin homolog (PTEN) and an increase in phosphorylated protein kinase B, Akt were found in acquired cetuximab-resistant cell lines generated from cetuximab-sensitive cell lines by exposing exosomes extracted from cetuximabresistant cell lines and acquired resistance to cetuximab was canceled by use of Akt inhibitor (5). However, those studies focused were on specific pathways or proteins and could not sufficiently account for factors affecting acquisition of resistance to cetuximab, and thus countermeasures against acquired resistance have not yet been established. To establish appropriate countermeasures against acquired resistance to treatment, the influence of an enormous number of proteins, including proteins other than targets of analysis, have to be assessed and each mechanism of resistance development caused by those factors has to be clarified (6).

In recent years, the proteomics approach has attracted attention as a comprehensive method of protein analysis. The proteomics approach was applied in many studies such as in the search for predictive markers of the efficacy of erlotinib in non-small cell lung cancer (7-11) and that of platinum preparations for ovarian cancer (12), and successfully established many predictive markers of drug efficacy and factors affecting drug susceptibility.

In this study, to clarify the factors related to acquisition of resistance to cetuximab and establish countermeasures against this acquired resistance, we carried out a comprehensive protein analysis via a proteomic approach using acquired resistance cell lines generated from cetuximab-sensitive CRC cell lines and original cell lines.

### 2. Method

## 2.1. Materials

Cetuximab sensitive CRC cell lines, C99 and SW48 without genetic mutations affecting sensitivity to anti-EGFR mAbs, such as *KRAS*, *NRAS*, *BRAF*, and *phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit alpha* (*PIK3CA*) mutations, and PTEN overexpression, were purchased from the European Collection of

Cell Cultures (Salisbury, UK) and the American Type Culture Collection (Manassas, VA), respectively. Reagents for culture and sample preparation were purchased from Wako Pure Chemical Industries (Osaka, Japan). All other reagents were obtained from commercial sources, and those used to analyze peptides were graded for high-performance liquid chromatography, liquid chromatography-tandem mass spectrometry (LC-MS/MS), or analytical use.

# 2.2. Cell culture and sample preparation

The C99 cell line was cultured in a humidified incubator at 37°C in the presence of 5% CO2, and the SW48 cell line was cultured in a 37°C incubator with no supplemental CO2. Cetuximab-acquired resistance cell lines (SW48-CR and C99-CR) were generated upon continuous exposure of SW48 and C99 cell lines to cetuximab according to the method described by Troiani et al (13). Briefly, SW48 and C99 cell lines were continuously exposed to cetuximab, at a starting concentration of 0.1 µg/mL, increased 2-fold every 30 days, to increase the inhibition of 50% of cancer cell growth (IC50), up a final concentration of 12.8 µg/mL. Cytoplasmic proteins were extracted from 80% confluent cell lines using a Minute Plasma Membrane Protein **Isolation** Kit (Invent Biotechnologies, Inc., Plymouth, MN), and the concentrations of the extracted proteins were measured using a DCTM Protein Assay Kit (Bio-Laboratories, Inc., Rad Hercules, CA). Cytoplasmic protein extracts were diluted to 0.7 mg/mL, and 180 µL of the samples were mixed with 20 μL of 5 mg/mL bovine serum albumin and incubated at 37°C for 90 min with 163 mg urea and 15.4 µL of 40 mg/mL dithiothreitol in 8 mol/L urea/0.5 mol/L Tris HCl (pH 8.5) for reduction of disulfide bonds. Reduced samples were alkylated by reacting with 38.4 µL of 40 mg/mL iodoacetamide in 8 mol/L urea/0.5 mol/L Tris-HCl (pH 8.5) for 30 min at 37°C. Subsequently, to digest the proteins, 5.2 µL of 1 mg/mL trypsin in 20 mmol/L acetic acid was added to 984 µL of samples diluted 4-fold with Milli-Q water, respectively, and trypsinization was performed at 37°C overnight. Trypsinized samples were desalted using a

MonoSpin C18 column (GL Sciences, Inc., Tokyo, Japan).

## 2.3. MS analysis

chromatography/mass spectrometry Liquid (LC/MS) analysis was performed with the EksigentNanoLC 425 coupled to Triple TOF 6600 (AB Sciex, Tokyo, Japan) interfaced to a NanoSpray III ion source. First, 10 µL of the samples were loaded onto a trap column (Acclaim PepMap 100 C18, 5 μm, 0.2 mm I.D. ×10 mm, Thermo Fischer Scientific K.K., Tokyo, Japan), and were then separated using an analytical column (Acclaim PepMap 100 C18, 3 um, 0.075 mm I.D. ×250 mm, Thermo Fischer Scientific) with a gradient from 2 to 32% solvent B at a flow rate 300 nL/min for 120 min (solvent A: 0.1% formic acid in water; solvent B: 0.1% formic acid in acetonitrile). Ion source parameters were set as follows: ion source voltage (ISVF) 2350 V, ion source gas (GS1 and GS2) 5 and 0, interface heater temperature 150 C, declustering potential (DP) 80V.

# 2.4. Sequential Window Acquisition of All Theoretical Mass Spectra (SWATH) data analysis

SWATH runs were acquired using the 100 SWATH variable window method (AB Sciex Pte. Ltd., Singapore) from m/z 100 to 1800 with each 25 ms accumulation time. Library samples were prepared by mixing samples obtained from 4 cell lines (C99, C99-CR, SW48, SW48-CR) equally, and measured 6 times by data dependent acquisition (DDA) selecting the top 25 highest peaks found in the survey scan. Database searching for the library was performed with ProteinPilot ver. 5.0 (AB Sciex) using the database downloaded from Uniprot (uniprot sprot.fasta, released 23 Nov. 2017). The 6 DDA runs were combined and analyzed using the Paragon algorithm. The resulting identified proteins with global false discovery rate (FDR) 1% (1596 proteins) were imported into SWATH Acquisition MicroApp ver. 2.0 (AB Sciex) on Peak View ver. 2.2 (AB Sciex) as the library. The extracted ion chromatograms of 12 SWATH runs were mined for 5 transitions per peptide and 5 peptides per protein, and then processed with a peptide confidence threshold of 99% and a false discovery rate < 1%.

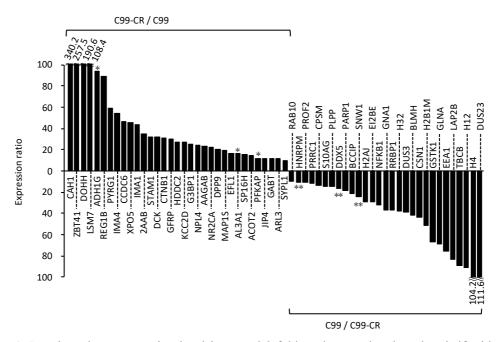
## 2.5. Data analysis

Data on expression levels of proteins analyzed by SWATH was adjusted by peak intensity of human serum albumin. Proteins for which expression levels in acquired resistance cell lines changed more than 2- or 0.5-fold compared to original cell lines along with acquisition of resistance were used as specific proteins in cetuximab-resistant or cetuximab-sensitive cell lines. Gene ontology (GO) analysis was performed to analyze relevance of proteins specific to cetuximab-resistant cell lines or -sensitive cell lines was analyzed using The Database for Annotation, Visualization and Integrated Discovery (DAVID) v6. 8 (https://david.ncifcrf.gov).

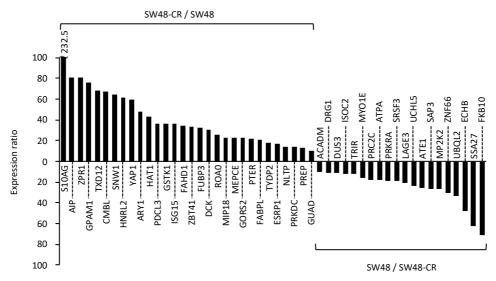
### 3. Result

SWATH analysis detected 4,179 kinds of peptides composed of 20,895 peaks; 1,294 kinds of proteins were identified and quantified.

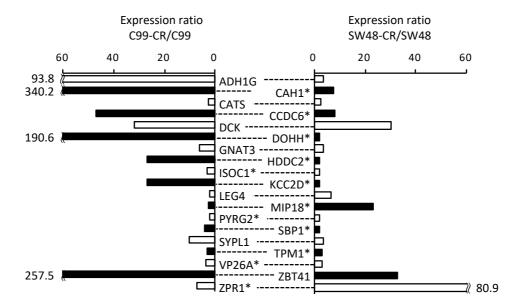
In the C99 cell line, the expression levels of 95 proteins increased more than two-fold with acquisition of resistance, and 3 of 33 proteins whose expression levels increased more than 10glycolysis/gluconeogenesis-related fold were proteins (Figure 1). On the other hand, the expression levels of 230 proteins decreased to half or less, and 3 of 29 proteins whose expression levels decreased to 1/10 or less were spliceosomerelated proteins (Figure 1). Likewise, in the SW48 cell line, the expression levels of 91 proteins increased more than double with acquisition of resistance, and the expression level increased more than 10-fold in 30 kinds of proteins, but no relationship was found among them. The expression levels of 147 proteins decreased to half or less and the expression levels of 20 kinds of proteins decreased to 1/10 or less (Figure 2), but no relationship was found for each protein.



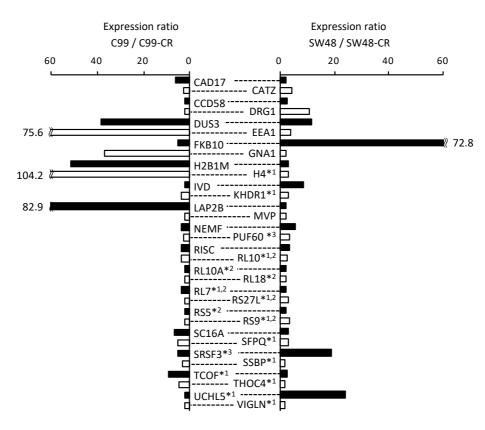
**Figure 1.** Proteins whose expression level increased 2-fold or decreased to less than half with acquisition of resistance to cetuximabin the C99 cell line (\* and \*\* denote glycolysis/gluconeogenesis related proteins and spliceosome related proteins, respectively)



**Figure 2.** Proteins whose expression level increased more than 2-fold or deceased to less than half with acquisition of resistance to cetuximabin the SW48 cell line.



**Figure 3.** Proteins whose expression level increased more than 2-fold with acquisition of resistance to cetuximabin both C99 and SW48 cell lines (\* denotes proteins with "molecular function" of "protein binding")



**Figure 4.** Proteins whose expression level decreased to less than half with acquisition of resistance to cetuximabin both C99 and SW48 cell lines (\*1, denotes proteins with "molecular functions" of "poly(A) RNA binding" and "cellular component" of "nucleus", \*2 denotes ribosomal proteins, and \*3 denotes spliceosome proteins, respectively.

As proteins which increased more than doubled with acquisition of resistance to cetuximabin both of C99 and SW48 cell lines, 19 kinds of proteins

were found. GO analysis showed that 12 of 19 proteins have "protein binding as a molecular function" as a common factor, but no relationship

was found among these proteins (Figure 3). Deoxycytidine kinase (dCK) and zinc finger and BTB domain-containing protein 41 (ZBTB41) increased more than 10-fold after acquiring resistance in both of C99 and SW48. On the other hand, the expression levels of 32 proteins decreased to half or less in both of C99 and SW48 with acquisition of resistance to cetuximab(Figure 4). GO analysis showed that 12 of 32 proteins have "nucleus as a cellular component" and "poly (A) RNA binding as a common factor" as common factors. In addition, 7 of 32 proteins were constituent proteins of ribosomes and other 3 proteins were constituent proteins of spliceosomes. Dual specificity protein phosphatase 3 (DUS3) decreased to less than 1/10 after acquiring resistance in both of C99 and SW48 (Figure 4).

### 4. Discussion

The proteins obtained from cetuximabresistance cell lines generated by exposing cetuximabsensitive cell lines to cetuximaband that from original cell lines were analyzed, and increase of dCK and ZBTB41 and decrease of DUS3 were found as a common factor related to acquisition of resistance to cetuximabin both C99 and SW48 cell lines.

dCK is an enzyme catalyzing the phosphate of esterification reaction the 5'-OH deoxynucleosides, which is the rate-determining step in the nucleoside salvage pathway. In cancer chemotherapy, dCK is an enzyme needed for phosphorylation of several deoxyribonucleosides and their nucleoside analogs such as gemcitabine and cytarabine and is known as a rate limiting enzyme in activation of these drugs. Furthermore, in addition to a report that the deficiency of dCK is involved in resistance to gemcitabine and cytarabine, it has also been reported that these drugs show very high anti-tumor efficacy in cancer cells that overexpress dCK(14-17). We found for the first time that the expression levels of dCK increase with the acquisition of resistance to cetuximabin this study. Although the reasons and mechanisms for activation of the metabolic pathway of nucleic acids regarding acquisition of resistance to cetuximabinhibiting EGFR pathway is

unknown, it is considered that the pathway with the activation of dCK as the final reaction may be activated as an alternative pathway to the EGFR pathway. In addition, although more detailed study using more cetuximab-resistant cell lines is needed, nucleoside analogs activated by dCK, such as gemcitabine or cytarabine, may be also be useful agents for treating cancers that have acquired tolerance to cetuximab.

On the other hand, the impact of increased expression and decreased DUS3 expression on the efficacy of chemotherapy has not been reported. ZBTB41 is a protein involved in the stabilization of various proteins such as ribosomal proteins and is involved in the regulation of expression of various proteins (18), overexpression of ZBTB41 is known to be a poor prognostic factor in liver cancer (19). DUS3 is a member of the dual-specificity protein phosphatase dephosphorylation subfamily. Byphosphorylated residues, DUS3 negatively regulates certain pathways, such as the MAPK pathway, which are associated with cellular proliferation and differentiation (20). Although both of ZTBT41 and DUS3 regulate several protein expression levels, the impact of fluctuation of those proteins on the efficacy of chemotherapy has not been studied. In the future, it is necessary to clarify the significance of variations in ZBTB41 and DUS3 expression levels by analyzing the change in expression levels of several proteins along with changes in ZBTB41 and DUS3 expression levels and assess the possibility of restoration of cetuximab sensitivity by regulation of ZBTB41 and DUS3 expression.

In addition, glycolysis/gluconeogenesis-related proteins were detected as a cetuximab resistance-related factor in C99 cells. The relationship between drug resistance and changes in expression of glycolysis/gluconeogenesis-related proteins has been reported in several papers, and cancer cells are considered to produce energy as required for survival in the microenvironment by altering the energy production pathway. However, because an increase in glycolysis/gluconeogenesis-related proteins was observed in only C99 but not in SW48 cells, the significance of this change varies greatly depending on the cell line, and thus it would be

difficult to establish a therapeutic target for cetuximab-resistant tumors.

In this study, because proteomic assay was performed using whole cells, we could not evaluate proteins with low expression such as EGFR pathway-related proteins, which is the underlying pathway in the mechanism of action of cetuximab. To evaluate the factors affecting acquisition of resistance to cetuximab, more detailed study focusing on proteins with low expression is needed.

## 5. Conclusion

In conclusion, we have revealed for the first time a change in dCK, ZBTB41, and DUS3 expression levels, largely with acquisition of resistance to cetuximab. Our data suggest that nucleoside analogs such as cytarabine and gemcitabine may be useful for treating CRC with acquired resistance to cetuximab. In future, it would be necessary elucidate the usefulness of these drugs for the treatment of cetuximab-resistant CRC and to assess the possibility of restoration of cetuximab sensitivity by regulation of ZBTB41 and DUS3 expression.

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